

PENNIE & EDMONDS LLP

NEW YORK OFFICE
1155 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036
(212) 790-9090
FACSIMILE: (212) 869-9741/8864

1667 K STREET, N.W.
WASHINGTON, DC 20006
(202) 496-4400
FACSIMILE: (202) 496-4444
MCI MAIL: 561-3768

CALIFORNIA OFFICE
3300 HILLVIEW AVENUE
PALO ALTO, CALIFORNIA 94304
(650) 493-4935
FACSIMILE: (650) 493-5356

WRITER'S DIRECT DIAL:
(202) 496-4478

INTERNET ADDRESS:
GONSALVESG@PENNIE.COM

December 10, 1999

VIA FACSIMILE

009392-0011-999

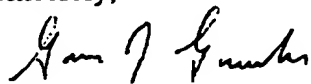
Ms. Monica L. Young
Customer Service Center
initial Patent Examination Division
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: **Response to Notice of Incomplete Response**
Application Serial No. 09/388,123
Filed: August 31, 1999
Inventor: SAIAS
Atty. Docket No. 9392-011-99

Dear Ms. Young:

In response to the **Notice of Incomplete Response**, we give you the authority to charge \$380.00 for the Small Entity filing fee and a \$65.00 surcharge for late filing of Declaration and Power of Attorney fee. The total sum of charges to be deducted from Pennine & Edmonds LLP's Deposit Account No. 16-1150, is \$445.00.

Sincerely,


Dr. Gregory J. Gonsalves

Attachment

cc: Frank E. Morris



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/AGENT NO./TITLE
--------------------	---------------------	-----------------------	--------------------------

09/388,123 08/31/99 SAIAS

1 9392-0011-79

020582
PENNIE & EDMONDS LLP
1667 K STREET NW
SUITE 1000
WASHINGTON DC 20006

0262/1206

NUI ASSIGNED

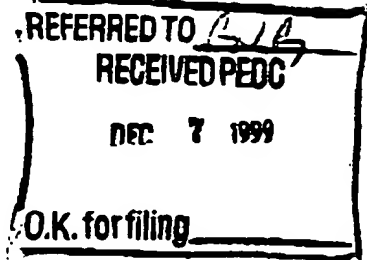
FEE(S) DUE 12/17/99 ^{2/85} DATE MAILED:

12/06/99

NOTICE OF INCOMPLETE RESPONSE
Filing Date Granted

Applicant's response of 11/17/99 has been entered into the record. However, the application still remains incomplete for the following reason(s).

- ☒ 1. The filing fee has not been received. The amount of \$ 760 is due.
- ☒ 2. The surcharge of \$ 130 has not been received.
- ☐ 3. The declaration has not been received.
- ☐ 4. The oath or declaration is not executed in compliance with 37 CFR 1.64(a) because:
- ☐ a. The inventor's signature is missing.
- ☐ b. The signature is missing for inventor(s) _____
- ☐ c. The application is one filed under 37 CFR 1.42, 1.43, or 1.47, and the oath or declaration is not executed by the person(s) qualified to make the oath or declaration.



To prevent **ABANDONMENT** of this application, a complete response is required.

The period for response remains as set forth in the Notice to File Missing Parts dated _____. However, you may obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136(a) by filing a petition accompanied by the appropriate fee (37 CFR 1.17).

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 808-1202

PART 1-ATTORNEY/APPLICANT COPY

FORM PTO-1684 (REV.7-98)

FILING RECEIPT



Patent and Trademark Office
 ASSISTANT SECRETARY AND COMMISSIONER
 OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/388,123	08/31/99	2785	\$0.00	9392-0011-99	2	13	3

020582

~~PENNIE AND EDMONDS, L.L.P.~~ -- PENNIE & EDMONDS LLP

1667 K STREET NW

SUITE 1000

WASHINGTON DC 20006

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

-- ISAAC --

Applicant(s) ISSAC SAIAS, CAMBRIDGE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

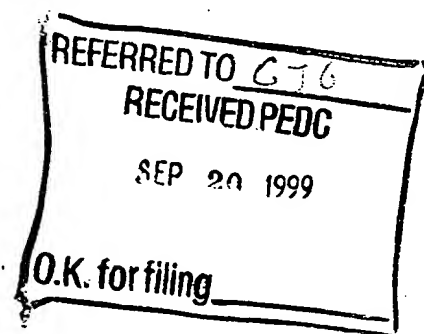
PROVISIONAL APPLICATION NO. 60/098,590 08/31/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/15/99

TITLE

METHOD FOR PERFORMING SOCIAL COMPUTATION

PRELIMINARY CLASS: 714



DATA ENTRY BY: WASHINGTON, LINDA TEAM: 06 DATE: 09/15/99

(See reverse for new important information)

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE ---- The Following Information about the Filing Receipt:

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231